

IN THE UNITED STATES PATENT & TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 CFR §1.53(b)

To: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231, USA

Docket No.: 12660ROUS02U

Inventor(s): Alan GRAVES et al.

The following are enclosed for filing this nonprovisional application relating to:

**PHOTONIC NETWORK NODE**



- ☐ CONTINUING APPLICATION. This is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior Application No. \_\_\_\_\_
- ☐ A Certified Copy of the application(s) from which this application claims priority under 35 U.S.C. §119 has been filed in the prior application identified above.
- ☐ Copy of assignment(s) to Nortel Networks, recorded with respect to the prior application identified above.
- ☒ Specification, including Claims and Abstract Pages: 23
- ☒ Drawings Sheets: 3
- ☒ Oath or Declaration Pages: 1
- ☒ New
- or ☐ Copy from the prior application identified above (for cont./div., 37 CFR §1.63(d))
- ☐ Signed statement attached deleting inventor(s) named in the prior application
- ☐ The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference.
- ☒ Certified Copy of Priority Document (if foreign priority is claimed)
- ☒ Assignment Papers (cover sheet(s) and document(s)). Please record and return to the undersigned.
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment. Fees are calculated below after entry of any preliminary amendment.
- ☒ Return Receipt Postcard
- ☐ Other:

**FEES:** Basic Fee: \$710.00

Assignment(s): 1 x \$40.00 = \$40.00

☐ Multiple Dependent Claims

Total Claims: 19 - 20 = -- x \$18.00 =

Independent Claims: 3 - 3 = -- x \$80.00 =

**TOTAL FEE: \$750.00**

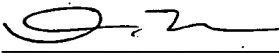
The Assistant Commissioner is hereby authorized to charge the following fees, and to charge any additional fees which may be required or credit any overpayment, to **Deposit Account No. 14-1315**:

- ☒ Fees required under 37 CFR §1.116 including the Total Fee calculated above.
- ☐ Fees required under 37 CFR §1.117 (Patent Application Processing Fees).

**CORRESPONDENCE ADDRESS:**

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Yours very truly  
Alan GRAVES et al.

By   
Dennis R. Haszko  
Patent Agent  
Registration No. 39,575

**Certificate of Mailing**  
I hereby certify that this correspondence is being deposited with Fedex Overnight Courier Service.  
Reference Waybill No. \_\_\_\_\_, in an envelope addressed to:

UNITED STATES PATENT & TRADEMARK OFFICE  
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on: February 7, 2001

Mariola Grabinski (name of person signing Certificate)